

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

RECEIVED
APR 08 2002

ANNUAL REPORT MAILING LABEL - MAKE CHANGES AS NECESSARY

Director of Utilities

D

W-02309A
DIABLO VILLAGE WATER COMPANY
% THIM UTILITY COMPANY
P. O. BOX 13145
TUCSON AZ 85732-

ANNUAL REPORT

FOR YEAR ENDING

12	31	2001
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FOR COMMISSION USE

ANN04	01
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Processed by:

4-10-02 cm

SCANNED

10 APR 2002

COMPANY INFORMATION

Company Name (Business Name) <u>Diablo Village Water Co</u>			
Mailing Address <u>PO Box 13145</u>			
<u>TUCSON</u> (City)	<u>AZ</u> (State)	<u>85732</u> (Zip)	
<u>520.290.1255</u> Telephone No. (Include Area Code)	<u>520.886.1977</u> Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)	
Email Address _____			
Local Office Mailing Address <u>6810 E. Broadway Ste 101</u>			
<u>TUCSON</u> (City)	<u>AZ</u> (State)	<u>85710</u> (Zip)	
<u>520.290.1255</u> Local Office Telephone No. (Include Area Code)	<u>520.886.1977</u> Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)	
Email Address _____			

MANAGEMENT INFORMATION

Management Contact: <u>Cindy Shumate</u>		<u>Sec/Treas.</u> <u>Office Manager</u>	
(Name)		(Title)	
<u>PO Box 13145</u> (Street)	<u>TUCSON</u> (City)	<u>AZ</u> (State)	<u>85732</u> (Zip)
<u>520.290.1255</u> Telephone No. (Include Area Code)	<u>520.886.1977</u> Fax No. (Include Area Code)	 Pager/Cell No. (Include Area Code)	
Email Address _____			
On Site Manager: <u>Charles A. Thim</u>			
(Name)			
<u>P.O. Box 13145</u> (Street)	<u>TUCSON</u> (City)	<u>AZ</u> (State)	<u>85732</u> (Zip)
<u>520.290.1255</u> Telephone No. (Include Area Code)	<u>520.886.1977</u> Fax No. (Include Area Code)	<u>520.444.9939</u> Pager/Cell No. (Include Area Code)	
Email Address _____			

X

Statutory Agent: <u>Robin Thim</u>			
(Name)			
<u>PO Box 13145</u>	<u>TUCSON</u>	<u>AZ</u>	<u>85732</u>
(Street)	(City)	(State)	(Zip)
<u>520-290-1255</u>	<u>520 886-1977</u>		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Pager/Cell No. (Include Area Code)	
Attorney: <u>Jerry Myers</u>			
(Name)			
<u>432 E Speedway</u>	<u>TUCSON</u>	<u>AZ</u>	<u>85705</u>
(Street)	(City)	(State)	(Zip)
<u>520-624-8512</u>	<u>520-792-0501</u>		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Pager/Cell No. (Include Area Code)	

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- | | |
|---|---|
| <input type="checkbox"/> Sole Proprietor (S) | <input type="checkbox"/> C Corporation (C) (Other than Association/Co-op) |
| <input type="checkbox"/> Partnership (P) | <input checked="" type="checkbox"/> Subchapter S Corporation (Z) |
| <input type="checkbox"/> Bankruptcy (B) | <input type="checkbox"/> Association/Co op (A) |
| <input type="checkbox"/> Receivership (R) | <input type="checkbox"/> Limited Liability Company |
| <input type="checkbox"/> Other (Describe) _____ | |

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

- | | | |
|-------------------------------------|--|-----------------------------------|
| <input type="checkbox"/> APACHE | <input type="checkbox"/> COCHISE | <input type="checkbox"/> COCONINO |
| <input type="checkbox"/> GILA | <input type="checkbox"/> GRAHAM | <input type="checkbox"/> GREENLEE |
| <input type="checkbox"/> LA PAZ | <input type="checkbox"/> MARICOPA | <input type="checkbox"/> MOHAVE |
| <input type="checkbox"/> NAVAJO | <input checked="" type="checkbox"/> PIMA | <input type="checkbox"/> PINAL |
| <input type="checkbox"/> SANTA CRUZ | <input type="checkbox"/> YAVAPAI | <input type="checkbox"/> YUMA |
| <input type="checkbox"/> STATEWIDE | | |

COMPANY NAME

Diablo Village Water Company

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	225	0	225
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements	2429	363	2066
307	Wells and Springs			
311	Pumping Equipment			
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes			
331	Transmission and Distribution Mains	108816	55329	53487
333	Services	4644	950	3694
334	Meters and Meter Installations	11002	6629	4373
335	Hydrants	1000	150	850
336	Backflow Prevention Devices	2140	107	2033
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	130256	63528	66728

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME

Diablo Village Water Company

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	225	0	0
302	Franchises	—	—	—
303	Land and Land Rights	—	—	—
304	Structures and Improvements	2429	5%	121
307	Wells and Springs			
311	Pumping Equipment			
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes			
331	Transmission and Distribution Mains	108816	5%	5441
333	Services	4644	5%	232
334	Meters and Meter Installations	11002	5%	550
335	Hydrants	1000	5%	50
336	Backflow Prevention Devices	2140	5%	107
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	130256		6501

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME

Diablo Village Water Company

BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 862	\$ 255
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$	\$
	FIXED ASSETS		
101	Utility Plant in Service	\$ 128115	\$ 130256
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	57027	63528
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 71088	\$ 66728
	TOTAL ASSETS	\$ 71950	\$ 66983

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME	Diablo Village Water Company
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BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITIES		
231	Accounts Payable	\$ 48595	\$ 48595
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	1125	1800
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$	\$
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$	\$
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction	48595	48595
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$	\$
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ 500	\$ 500
211	Paid in Capital in Excess of Par Value	37866	37866
215	Retained Earnings	1267	1615
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$	\$
	TOTAL LIABILITIES AND CAPITAL	\$	\$

COMPANY NAME

Diablo Village Water Company-

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 90940	\$ 91889
460	Unmetered Water Revenue		
474	Other Water Revenues	3634	5169
	TOTAL REVENUES	\$ 94574	\$ 97058
	OPERATING EXPENSES		
601	Salaries and Wages	\$	\$
610	Purchased Water	54227	42516
615	Purchased Power		
618	Chemicals		
620	Repairs and Maintenance	3162	1837
621	Office Supplies and Expense	88	114
630	Outside Services	29235	59076
635	Water Testing	246	658
641	Rents		
650	Transportation Expenses		
657	Insurance - General Liability		
659	Insurance - Health and Life		
666	Regulatory Commission Expense - Rate Case		195
675	Miscellaneous Expense	850	
403	Depreciation Expense	6394	6501
408	Taxes Other Than Income	4123	4726
408.11	Property Taxes	2295	2990
409	Income Tax	Sub 5	Sub 5
	TOTAL OPERATING EXPENSES	\$ 100620	\$ 118613
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXP	\$	\$
	NET INCOME/(LOSS)	\$ (6046)	\$ (21555)

COMPANY NAME

Diablo Village Water Company

SUPPLEMENTAL FINANCIAL DATA**Long-Term Debt**

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End

\$ 1640.25

Meter Deposits Refunded During the Test Year

\$ 182.25

COMPANY NAME Diablo Village Water Company

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
	N/A	N/A	N/A	N/A

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
City of Tucson		22935

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = 0

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
		1	

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity

X

STATISTICAL INFORMATION

Total number of customers	<u>209</u>	
Total number of gallons sold	<u>22,46,757</u>	gallons

COMPANY NAME

Diablo Village Water Company

YEAR ENDING 12/31/2001

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported

Estimated or Actual Federal Tax Liability

Subs

State Taxable Income Reported

Estimated or Actual State Tax Liability

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances

Amount of Gross-Up Tax Collected

Total Grossed-Up Contributions/Advances

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

SIGNATURE

DATE

PRINTED NAME

TITLE

COMPANY NAME Diablo Village Water Company YEAR ENDING 12/31/2001

**WATER AND SEWER
UTILITIES ONLY**

PROPERTY TAXES

Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2001)

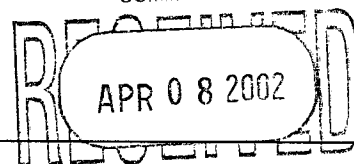
\$ 2990

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain reasons below:

**VERIFICATION
AND
SWORN STATEMENT**
Intrastate Revenues Only

ARIZONA CORPORATION
COMMISSION



VERIFICATION

STATE OF Arizona

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	<u>Pima</u>
NAME (OWNER OR OFFICIAL) TITLE	<u>Robin Thim, President</u> Director of Utilities
COMPANY NAME	<u>Diablo Village Water Company</u>

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2001</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

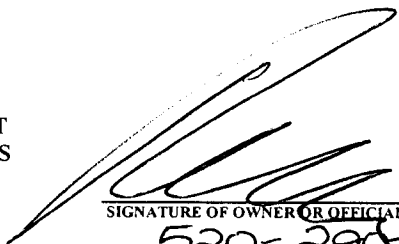
\$ 97058

(THE AMOUNT IN BOX ABOVE

INCLUDES \$ 4726

IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**



SIGNATURE OF OWNER OR OFFICIAL
520-298-1255

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

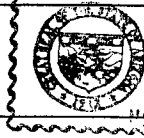
5th

DAY OF

OFFICIAL

COUNTY NAME	<u>Pima</u>
MONTH	<u>April</u>
YEAR	<u>2002</u>

(SEAL)



CINDY L. SHUMATE
NOTARY PUBLIC - ARIZONA
PIMA COUNTY

SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES

My Comm. Expires Apr. 25, 2003

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION
COMMISSION

RECEIVED
APR 16 2002

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

(COUNTY NAME)

Pima

Director of Utilities

NAME (OWNER OR OFFICIAL)

Robin Thim

TITLE

President

COMPANY NAME

Diablo Village Water Company

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH

DAY

YEAR

12

31

2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES

\$ 945,494.97058

(THE AMOUNT IN BOX AT LEFT

INCLUDES \$ 4726

IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.

X

SIGNATURE OF OWNER OR OFFICIAL

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

5th

DAY OF

NOTARY PUBLIC NAME

Cindy Shumate

COUNTY NAME

Pima

MONTH

April

2002

(SEAL)

MY COMMISSION EXPIRES

X

SIGNATURE OF NOTARY PUBLIC

DIABLO VILLAGE WATER COMPANY

P.O. BOX 13145
TUCSON, AZ 85732-3145

WELLS FARGO BANK, N.A.
TUCSON, ARIZONA 85711

1086

91-118/1221

052101909

04/27/2001

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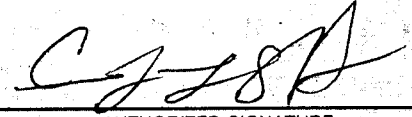
210 34 50904, 51109, 51006

PAY TO THE
ORDER OF

Pima County Treasurer

\$ **1,163.94

One Thousand One Hundred Sixty-Three and 94/100 ***** DOLLARS



AUTHORIZED SIGNATURE

2103451000100

MEMO

9548510005100/21034511090100/2103451040100

GFN 98H-11E

⑈001086⑈ ⑆122101191⑆0465 369452⑈

⑈0000116394⑈

05/23/01
122000661

14

66190853

122101

1001

04/27/01

WFB LA: CA 05232001
TRACER # 6184 PKT. 001
122105278

0423033179

BANK OF AMERICA, N.A. TPE
1221017064 E3053 90 P11
00000412724156 05/22/01

3450278637

ONLY
FOR
OFFICE OF
TREASURER
PIMA COUNTY, ARIZONA
412-724158

DIABLO VILLAGE WATER COMPANY

P.O. BOX 13145
TUCSON, AZ 85732-3145

WELLS FARGO BANK, N.A.
TUCSON, ARIZONA 85711

0102/001880

1099

91-119/1221

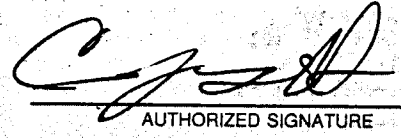
06/19/2001

PAY TO THE
ORDER OF

Pima County Treasurer

\$ **184.71

One Hundred Eighty-Four and 71/100***** DOLLARS


AUTHORIZED SIGNATURE

MEMO 0005393

CFN 58H-1LB

⑈001099⑈ ⑆122101191⑆0465 369452⑈

⑈0000018471⑈

07/30/01 14
122000661

63101200

JUL 27 01

0109 61502

FOR DEPOSIT ONLY
TO THE CREDIT OF
BETH FORD
PIMA COUNTY TREASURER
PIMA COUNTY, ARIZONA
412-724156

WFB LA, CA 07302001
TRACER # 0924 PKT. 001
122105278
0923272855

BANK OF AMERICA, N.A. TPE
⑈1221017064 E3805 90 P1⑈
07/21/01
3250676025

DIABLO VILLAGE WATER COMPANY

P.O. BOX 13145
TUCSON, AZ 85732-3145

WELLS FARGO BANK, N.A.
TUCSON, ARIZONA 85711

1127

91-119/1221

10/29/2001

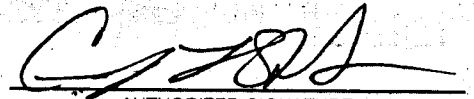
PAY TO THE
ORDER OF

Pima County Treasurer

\$**1,641.82

One Thousand Six Hundred Forty-One and 82/100***** DOLLARS

210 34 50904 0100
210 34 51006 0100 / 210 34 51109 0100
MEMO 954851000 0 / 210 36 01890100


AUTHORIZED SIGNATURE

CHN 93H-115

⑈001127⑈ ⑆122101191⑆0465 369452⑈

⑈0000164182⑈

11/05/01
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WFB LA, CA 11052001
TRACER # 0991 PKT: 001
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